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| TRANSMETAL OF INFORMATION DISCLOSURE STATEMENT Docket No. | | | | | | | | | | |
| NOV 1 4 2005 (Under 37 CFR 1.97(b) or 1.97(c)) | | | | | 18688 | | | | | |
| n Re Application Of: Kathryn E. Lawlor et al. | | | | | | | | | | |
| TO E FRADENING | | | | | | | | | | |
| Application No. | | Filing Date | Examiner | Customer No. | Group Art Unit | Confirmation No. | | | | |
| 10/525,363 | | February 23, 2005 | Unassigned | 23389 | Unassigned | 5197 | | | | |
| Title: A | метног | O OF TREATMENT | AND PROPHYLAXIS | | | | | | | |
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| · | | | Address to: | | | | | | | |
| | | | Commissioner for Paten P.O. Box 1450 | ts | | | | | | |
| | | | Alexandria, VA 22313-14 | 50 | | | | | | |
| | | | 37 CFR 1.97(b) | | | | | | | |
| | | | tement submitted herewith is than a continued prosecution | • | | _ | | | | |
| | three mon | ths of the date of en | try of the national stage as set | forth in 37 CF | R 1.491 in an in | ternational | | | | |
| | • • | | of a first Office Action on the mest for continued examination u | | ~ | first Office | | | | |
| | | | | | | | | | | |
| | | | 37 CFR 1.97(c) | | | | | | | |
| 2. | CFR 1.97(| (b), provided that the | atement submitted herewith is e Information Disclosure State | ment is filed be | efore the mailing | g date of a | | | | |
| | Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: | | | | | | | | | |
| | ☐ the statement specified in 37 CFR 1.97(e); | | | | | | | | | |
| OR | | | | | | | | | | |
| | ☐ the | fee set forth in 37 CF | FR 1.17(p). | | | | | | | |
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| TRANSMITT | Docket No. 18688 | | | | | | | | | |
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| In Re Application of: Kathryn E. Lawlor et al. | | | | | | | | | | |
| Application No. | er | Customer No. | Group Art Unit | Confirmation No. | | | | | | |
| 10/525,363 | 10/525,363 February 23, 2005 Unassign | | | 23389 | Unassigned | 5197 | | | | |
| Title: A METHOD OF TREATMENT AND PROPHYLAXIS | | | | | | | | | | |
| Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) | | | | | | | | | | |
| (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) ☐ A check in the amount of is attached. ☐ The Director is hereby authorized to charge and credit Deposit Account No. ☐ Charge the amount of ☐ Credit any overpayment. ☐ Charge any additional fee required. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. ☐ Certificate of Transmission by Facsimile* ☐ Credit tard information and authorization on PTO-2038. ☐ Certificate of Transmission by Facsimile* ☐ I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on November 10, 2095 ☐ (Date) ☐ Typed or Printed Name of Person Mailing Correspondence Edward W. Grotz ☐ Typed or Printed Name of Person Mailing Certificate ☐ Typed or Printed Name of Person Mailing Certificate ☐ Typed or Printed Name of Person Mailing Certificate | | | | | | | | | | |
| Edward W. Grolz Registration No. 33 | MURPHY & PRESS aza - Suite 300 | | Dated: | November 10, 2 | 005 | | | | | |

cc: EWG:ab



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Kathryn E. Lawlor et al.

Examiner:

Unassigned

Serial No.:

10/525,363

Art Unit:

Unassigned

Filed:

February 23, 2005

Docket:

18688

For:

A METHOD OF TREATMENT

Dated:

November 10, 2005

AND PROPHYLAXIS

Confirmation No:

5197

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. United States Patent No. 5,420,109 dated May 30, 1995, issued to Suto et al.;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 10, 2005.

Dated: November 10, 2005

Edward W. Grolz

- 2. United States Patent No. 5,639,455, dated June 17, 1997, issued to Shimomura et al.;
- 3. United States Patent Application Publication No. 2002/0141994 dated October 3, 2002, issued to Devalaraja et al.;
- 4. International Patent Publication No. 95/21864, dated August 17, 1995;
- 5. International Patent Publication No. 95/21867, dated August 17, 1995;
- 6. International Patent Publication No. 02/07676, dated January 31, 2002;
- 7. Bernard, L.A. et al., "Pimecrolimus 1% Cream (Elidel®) For Atopic Dermatitis," *Skin Therapy Letter*. 7(4): 1-3, (2002);
- 8. Campbell, Ian et al., "The Colony-Stimulating Factors and Collagen-Induced Arthritis: Exacerbation of Disease by M-CSF and G-CSF and Requirement for Endogenous M-CSF," *J. of Luekocyte Bio.* 68: 144-150, (2000);
- 9. Ghivizzani, Steve C. et al., "Gene Therapy Approaches for Treating Rheumatoid Arthritis," *Clin. Orthopaedics and Related Research.* 379S: S288-S299, (2000);
- 10. Hermans, Mirjam et al., "Sustained Receptor Activation and Hyperproliferation in Response to Granulocyte Colony-Stimulating Factor (G-CSF in Mice with a Severe Congenital Neutropenia/Acute Mmyeloid Leukemiaderived Mutation in the G-CSF Receptor Gene," *J. Exp. Med.* 189(4): 683-691 (1999);
- 11. Caporali, Roberto et al., "Cyclophosphamide Plus Granulocyte Colony Stimulating Factor (G-CSF) is More Effective than G-CSF Alone in Mobilizing Hemopoietic Progenitor Cells in Severe, Refractory Rheumatoid Arthritis," *Haematologica*. 86(1): 106-107 (2001);
- 12. Kawamura, Hiroki et al., "Expansion of Extrathymic T Cells as Well as Granulocytes in the Liver and Other Organs of Granulocyte-Colony Stimulating Factor Transgenic Mice: Why They Lost the Ability of Hybrid Resistance," *J. of Immunology.* 162: 5957-5964, (1999);
- 13. Roessler, Blake J. et al., "Inhibition of Interleukin-1-Induced Effects in Synoviocytes Transduced with the Human II-1 Receptor Antagonist cDNA Using an Adenoviral Vector," *Human Gene Therapy*. 6: 307-316, (1995); and
- 14. Saggio, I. et al., "Adenovirus-Mediated Gene Transfer of a Human IL-6 Antagonist," *Gene Therapy.* 4: 839-845, (1997).

Reference numbers 1-3, 7-10 and 12-14 were cited in an International Search

Report, dated November 20, 2003, a copy of the search report was filed on February 23, 2005,

therefore a copy is not provided. Applicants are submitting copies of reference numbers 7-10

and 12-14.

In accordance with the waiver of 37 C.F.R. § 1.98 (a)(2)(i) in effect as of June

30, 2003, applicants are not required to submit copies of the U.S. Patent references (reference

numbers 1-3).

Reference numbers 4-6 and 11 were cited in a Supplementary Partial European

Search Report dated July 28, 2005. Applicants are submitting a copy of the search report

together with a copy of the references. The relevance of these references has been described in

the Search Report.

Consideration of this Information Disclosure Statement is respectfully requested,

since the art provided may be material to the examination of the present application as defined

under 37 C.F.R. §1.56.

Inasmuch as this Information Disclosure Statement is being submitted in

accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,

Edward W. Grolz

Registration No. 33,705

Scully, Scott, Murphy & Presser 400 Garden City Plaza-STE 300 Garden City, New York 11530

(516) 742-4343 EWG:ar/ab

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Enclosure: Form PTO 1449

| ANFORMATION DISCLOSURE CITATION | | | | Docket Number (Optional) 18688 Applicant(s) | | Application Number | | | |
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| | | | | | | 10/525,363 | | | |
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| PAR | | 7 1003 W | U. | S. PATENT | DOCUMENTS | | | | |
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| | <u> </u> | 5,420,109 | 5/30/1995 | Suto et al. | | | | <u> </u> | |
| | | 5,639,455 | 6/17/1997 | Shimomura et al. | | | | | |
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| | \dashv | Campbell, Ian et al. and G-CSF and Req | "The Colony-Stimu juirement for Endog | lating Fact genous M-C | tors and Collagen-Induc CSF,"J. of Luekocyte Bi | ed Arthritis: E o. 68: 144-150, | xacerbation of I (2000); | Disease by I | M-CSF |
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Form PTO-A820 (also form PTO-1449)

Docket Number (Optional) **Application Number** 18688 10/525,363 INFORMATION DISCLOSURE CITATION Applicant(s) Kathryn E. Lawlor et al. (Use several sheets if necessary) Filing Date Group Art Unit February 23, 2005 Unassigned *EXAMINER OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) INITIAI Ghivizzani, Steve C. et al., "Gene Therapy Approaches for Treating Rheumatoid Arthritis," Clin. Orthopaedics and Related Research. 379S: S288-S299, (2000); Hermans, Mirjam H.A. et al., "Sustained Receptor Activation and Hyperproliferation in Response to Granulocyte Colony-Stimulating Factor (G-CSF) in Mice With a Severe Congenital Neutropenia/Acute Myeloid Leukemia-Derived Mutation in the G-CSF Receptor Gene," *J. Exp. Med.* 189(4); 683-691 (1999); Caporali, Roberto et al., "Cyclophosphamide Plus Granulocyte Colony Stimulating Factor (G-CSF) is More Effective Than G-CSF Alone in Severe, Refractory Rheumatoid Arthritis," *Haematologica*. 86(1): 106-107 (2001); Kawamura, Hiroki et al., "Expansion of Extrathymic T Cells as Well as Granulocytes in the Liver and Other Organs of Granulocyte-Colony Stimulating Factor Transgenic Mice: Why They Lost the Ability of Hybrid Resistance," *J. of Immunology*.162: 5957-5964, (1999); Roessler, Blake J. et al., "Inhibition of Interleukin-1-Induced Effects in Synoviocytes Transduced with the Human IL-1 Receptor Antagonist cDNA Using an Adenoviral Vector," Human Gene Therapy. 6: 307-317, (1995); and Saggio, L. et al., "Adenovirus-Mediated Gene Transfer of a Human IL-6 Antagonist," Gene Therapy. 4: 839-845, (1997).

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.